



Fort Washington Becomes a UNPRI Signatory

Fort Washington is proud to have become a UNPRI signatory on November 17, 2016. In recognition of the impact that environmental, social, and governance (“ESG”) issues may have on performance, we will continue our practice of managing select client portfolios with negative screens (SRI) but also offer our investment management service with an integrated ESG approach for select client portfolios.

The Principles

The PRI provides a framework for incorporating ESG considerations into investment practices. In becoming a PRI signatory, the primary investment teams commit to adopt and implement over time, with appropriate policies and procedures for clients with an ESG mandate, the following aspirational principles:

Principle 1: Incorporate ESG issues into investment analysis and decision-making processes.

Principle 2: Be active owners and incorporate ESG issues into ownership policies and practices.

Principle 3: Seek appropriate disclosure on ESG issues by the entities in which we invest.

Principle 4: Promote acceptance and implementation of the PRI within the investment industry.

Principle 5: Work together to enhance our effectiveness in implementing the PRI.

Principle 6: Report activities and progress toward implementing the PRI.

Our Approach

ESG Committee: Fort Washington has established an ESG Committee that is charged with oversight of our PRI efforts. This Committee is comprised of senior personnel in Risk Management, Performance, Compliance, Marketing, Business Development and representatives from the investment teams. Current policies include:

ESG Committee will meet semi-annually. The ESG Asset Class Sub-committees will meet at least quarterly to review their portfolios being managed with an ESG orientation.

Maintain internal ESG program as mandated by Fort Washington’s ESG Committee by utilizing MSCI’s ESG Rating Platform or equivalent programs as applicable.

Update ESG Snapshot Reports on a monthly basis to review individual holdings and portfolio scores on relevant portfolios/strategies.

Provide ESG metrics and performance on a quarterly basis to the firm’s Risk Management Committee.

Provide firm-wide informational sessions on ESG.

Investment and Research

The firm has access to external ESG research tool and resources but will continue to assess other offerings. We incorporate ESG criteria as an additional layer of risk management.

ESG Training

The firm provides ESG orientation to new employees as part of their onboarding process and will begin to offer annual ESG informational sessions.

Reporting

As part of our commitment as a Signatory, the firm will report on our ESG activities to the PRI on a comprehensive basis beginning in 2018 for the 2017 calendar year and each year thereafter.

